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1	BE IT REMEMBERED that the videotaped
2	deposition of MIKE TOWNSEND was taken in behalf of the
3	Plaintiff pursuant to the Washington Rules of Civil
4	Procedure before William J. Bridges, Certified
5	Shorthand Reporter for Washington, Oregon and Idaho, on
6	Wednesday, the 18th day of November, 2020, via Zoom
7	videoconference, commencing at the hour of 10:46 a.m.
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11	APPEARANCES:
12	
13	For the Plaintiff: JOHN P. SHERIDAN, ESQ.
14	ANDRA KRANZLER, ESQ. The Sheridan Law Firm Attorneys at Law
15	705 Second Avenue Suite 1200
16	Seattle, WA 98104 (206) 381-5949
17	jack@sheridanlawfirm.com andra@sheridanlawfirm.com
18	anaraebner raanrawrinm. eem
19	Ear the Defendant: MADY CDECO DECEDON ECO
20	For the Defendant: MARY CREGO PETERSON, ESQ. Hillie, Clark, Martin & Peterson
21	Attorneys at Law
22	999 Third Avenue Suite 4600
23	Seattle, WA 98104 (206) 623-1745
24	mary.peterson@hcmp.com
25	

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                      APPEARANCES (Cont'd).
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     Also present:
                         GILLIAN MARSHALL
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                                 DIANE YOUNG
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                                 TONY DONDERO
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                                 SHANNON GLOVER
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                                 Videographer
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THE VIDEOGRAPHER: Good morning. Here begins the deposition of Mike Townsend in the matter of Marshall vs. State of Washington in the Superior Court of Washington in and for Pierce County. Case Number 19-2-11120-3.

Today's date is November 18, 2020. The time is approximately 10:46 a.m. This deposition is being taken via Zoom videoconference. The videographer is Shannon Glover, the court reporter is William Bridges, both here on behalf of Bridges Reporting & Legal Video.

Will counsel and all present please identify yourselves and state whom you represent?

MR. SHERIDAN: This is Jack Sheridan representing the plaintiff Gillian Marshall. And with me is Andra Kranzler who is an attorney at my office.

MS. PETERSON: My name is Mary Peterson.

I represent defendants in this case. And with me today is Dr. Diane Young, a named party in this matter.

MR. SHERIDAN: All right.

THE VIDEOGRAPHER: Would the court reporter please swear in the witness?

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(MIKE TOWNSEND, called as a witness by the Defendants, being first duly sworn to tell the truth, the whole truth and nothing but the truth, was examined and testified as follows:)

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THE VIDEOGRAPHER: You may begin.

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9 EXAMINATION

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11 BY MR. SHERIDAN:

- Q. Good morning. Please state your name for the record.
- 14 A. Mike Townsend.
 - Q. And with whom are you employed?
 - A. The University of Washington through the State of Washington.
 - Q. And what do you do there?
- A. Hmm. Part of my job is working in the School of Law as an associate professor.
- The other part of my job is working as
 what's called Secretary of the Faculty of the
 University of Washington.
- Q. And could you explain, of what, do you have a focus of what you teach as a law professor?

A. Well, yes. I taught mainly in the first year. I also am trained as a mathematician. So I taught quantitative methods.

COURT REPORTER: This is the court reporter. You said "I taught mainly in the first year," and then there was a little black-out. Maybe we missed something. "I taught mainly in the first year."

THE WITNESS: I think I said contracts, torts and property.

THE COURT REPORTER: Thank you. I did not get that.

THE WITNESS: Sorry.

- Q. (BY MR. SHERIDAN:) And what was, you said that you were a secretary. Would you repeat that connection, please?
- A. The other part of my job at the university is to work as what's called a Secretary of the Faculty of the University of Washington.
- Q. Okay. Can you give us in lay terms an explanation of what your job duties entail?
 - A. As Secretary of the Faculty?
 - Q. Yes, please.
- A. Well, I'm hesitating because I give different descriptions, depending on who's asking, based on what I think they will get out of it.

So, let me try it this way: So, there are three basic parts of my job.

Two of them I would call, let's just say the jury in the sense that they are specified in various places across the university, such as the Faculty Code.

And let me talk about that first.

Q. Okay.

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- A. So the University of Washington is obviously this unbelievably large and complex institution. And it's not actually run by one person. Various parts of it are co-managed.
 - Q. Okay.
- A. One group of parts of it are co-managed by the faculty on one side and you might say the administration who are the delegees of the state legislature on the other.
 - Q. Okay.
- A. My job in that regard is to facilitate that, what we call shared governance.
 - Q. Okay.
- A. And there are two parts of that. One is sending documents and communications back and forth between the faculty side and the administration side.
- Q. Okay.
- A. And the other part is kind of doing the same

thing, just within the faculty side, which itself is a complicated subject.

Now, in that part of my job, what I called the jury part, there's actually a professional staff that works with me on this. So, I'm called the Secretary of the Faculty, but that part of the job is actually carried out, you might say, through an office for which I am responsible. So often the communication will go out in an e-mail over my name, but sent out by one of the professional staff.

- Q. What's the name of the office, if it has one?
- A. Well, I call it the Secretary of the Faculty office. Sometimes it's called I think the office of the university committees and the codes, something like that.

Now, so that's one part of it. I call that the paper pushing part.

Then there's another part which I call the de facto part, which is the sequence of things that are done that have accumulated over time. There's not a specific direction for it anywhere. It just so happened that a number of tasks have come to be expected to be done by the Secretary of the Faculty.

Q. Okay.

A. This group of things I do myself. I don't use a staff for. They would be things like providing advice to members of the administration or members of the faculty side of the governance, of policy questions or procedural matters.

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And then the other part is it has fallen to my office to provide information to members of the community, typically faculty members, but often administrators, about rights and responsibilities under policies with which I am familiar.

Now I say "provide information" because I don't have the authority to interpret rules and regulations at the university. I don't have authority to order anyone.

But I can provide information I have about what has happened in the past in certain situations and so forth.

So, that's kind of a layperson's, I would say, description of what I and my -- the staff I work with do.

- Q. That is actually very helpful. All right. So how long have you been doing this?
- A. This is the, I'm in the middle of the fourth year as Secretary of the Faculty.
 - Q. Okay. All right. And are you -- Who hired

you?

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- A. Technically what happened is the Senate Executive Committee, which is, well, it's kind of a creature of the Senate, submits a name for Senate approval.
 - Q. Okay.
- A. Now, in my case this happened in the summer. The Senate Executive Committee is allowed to act for the Senate in the summer. So, they basically submitted the name to themselves, and I was hired that way.
- Q. Okay. And do you serve until someone says you can't, or is there a term?
- A. There's a -- The code says up to five years. I think that was the term under which I was hired. So next year would be the last year under that term.

There's no limit on the number of terms.

There are limits on eligibility. You have to be a tenured faculty member.

- Q. Okay. All right. And can you tell us a little bit about the Faculty Code? Who creates it and what authority does it have?
- A. Well, so, the university -- So this is as I understand it. I'm not, you know, a constitutional or state law expert.

As I understand it, the university is a

creature of the state legislature. They could run things soup to nuts if they wished. And there are some very specific things in the RCWs on the University.

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But they choose not to run it soup to nuts. So they have largely delegated the soup to nuts to the Board of Regents. But the legislature has reminded the Board of Regents that as an academic institution the faculty has to be involved in running at least that part of the university.

Now, the Board of Regents then could take over what's remaining of the soup and nuts. But they don't do that either, although they do have some various standing orders and things like that.

They have largely delegated that to the President, with the reminder from the legislature that the faculty in an academic institution has to be considered part of that.

Pursuant to that delegation and that direction from the Board of Regents, the President of the university through a series of Executive Orders set up the mechanism for that sharing or that recognition.

And that series of Executive Orders, and the practice that has grown up around that, results in various documents by which that sharing takes place, one of which is the Faculty Code.

Q. Okay.

- A. The Faculty Code, which is listed in the policy manual by name.
 - Q. So, how does that arise?
- A. Well, there's a legislative process that involves at least four parties. The Senate Executive Committee, the Senate, the Faculty, the eligible voting faculty as a whole, and the President's office.

And this gets back to this jury part of my job. Because to get all of that done and everything approved by the right people in the right order at the right time is part of the responsibility of my office.

So, it is the result of this legislative process the involves the faculty as a whole, representatives of the faculty, and then the President. So, that's how it all arises.

And then, you know, legislation arises, but various people have interests, some of our university councils have interests, and so forth. But it's authority.

Well, that's a tricky matter. I think the courts have had some things to say about that. So I'm not really in a position to explain, or I haven't even looked at all of the cases. But I know there are some cases in which the standing of the -- the jury standing

of the Faculty Code and the Executive Orders have been in some cases, I'm not enough of an expert, but I can't answer on the jury aspect of it.

Q. Okay.

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A. But there is the faculty aspect. And inside of the university in my experience faculty and administrators have treated the code as binding on members of the community internally, unless there's a feeling that there's a superseding state or federal regulation.

That's been my experience it's been treated that way.

- Q. Did you say binding on the members of the faculty, or faculty and administration?
 - A. Faculty and administration.
- 16 Q. Okay.
 - A. To the extent that it talked about what the administration is supposed to do.
 - Q. Yeah. Right. Okay. All right. Can you tell us, who creates it and who changes it?
 - A. Well, it's this process that I have described.
 - So, I can give you kind of, you know, you tell a civics class, you might say the typical thing is in running the university some problem is discovered.

Let's say the university has become so big that we feel there might be some -- that the process we had for evaluating faculty merit or something like that needs to be changed.

So, one of the faculty councils would probably be assigned the task of examining the issue.

Q. Okay.

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A. They would draft some code language in consultation with the relevant stakeholders and parties. That would be sent to the Senate Executive Committee.

It's going to get complicated.

Then the Senate Executive Committee would send it to the Senate. Then the Senate would send it back to the Senate Executive Committee for a second look.

And during that second look the President gets an opportunity to raise objections.

Once that's all dealt with, it goes back to the Senate. And then out to the faculty. And then back to the President for final approval.

That's your typical Faculty Code or process that happens.

There are other things that faculty can do legislatively that are not technically part of the

1 | code, as you probably understand it.

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They can pass sensitive Senate resolutions, like Congress can.

And there's a slightly different process for what's called scholastic regulations, which are admissions criteria, whether we're going to require the SAT and so forth. The Senate is involved in that. But it's slightly -- it doesn't have a double look process that I described.

So that's how things will get enacted at the beginning.

And then they could change basically through the same process.

Q. Okay. All right. And can you tell us in your, again, in your experience, if there's a code provision, can certain parts of the university just decide they don't want to do it that way, they want to do it a totally different way?

Can you ignore the code, in your experience?

And maybe that's too vague. Maybe you need an

example.

- A. Yeah. Can you give an example?
- Q. Yeah. Let's look at Exhibit 137. Okay.
- 24 And we're gonna to go to --

25 First of all, so let's just look at the

first page so you feel confident you are looking at the code. Okay?

So, is this an online document?

- A. It is what I remember seeing on the web pages.
- Q. Okay. Fair enough. All right. Do you yourself get involved at all in drafting code changes?
- A. I have sometimes in the first instance, if it's some technical, really technical thing, I might be asked to draft something in the first instance or be involved in the first instance drafting.

Other times I have made suggestions in the middle of the first process when I've seen things that I have thought, okay, maybe you missed something.

So, yes, in that sort of situation I have been involved in --

Q. Okay.

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- A. -- in drafting. But I'm not considered a primary code drafter.
- Q. That is what I was going to ask, is in your position it seems like anyone could grab you to get your input, as opposed to you being assigned to a certain point, to review a certain point in that change process that you described.

Would you agree with that?

- A. It's more often I'm asked for advice, yes.
- Q. Okay. All right. So, looking at, I'd like to draw your attention to Section 24-32. It's on page 2606, which is the Bates stamp page.

(Pause in the proceedings).

- Q. Okay. That's it. And it says, Section 24-32, "Scholarly and Professional Qualifications of Faculty Members." And have you seen this section recently?
- A. Recently? Yes, I have seen it recently. Yeah.
- Q. Good. I just wanted to make sure whether you feel comfortable with this.

So, one of the things, it talks about "The University faculty is committed to the full range of academic responsibilities: scholarship and research, teaching, and service."

And then towards the last four lines of that paragraph, it says, "In accord with the University's expressed commitment to excellence and equity, any contributions in scholarship and research, teaching, and service that address diversity and equal opportunity shall be included and considered among the professional and scholarly qualifications for appointment and promotion outlined below."

And do you happen to know how long that provision has been in the Faculty Code?

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- A. It's fairly recent, but I don't have the exact date on enactment.
- Q. Do you happen to know, like you've said you can't interpret it. But have you seen, have you observed situations where either faculty in the tenure and promotion process are accused of having either ignored it or gotten advice from you regarding the use of that section?

MS. PETERSON: Objection, compound.

- Q. (BY MR. SHERIDAN:) You can answer.
- A. I don't understand what you mean by ignore, the faculty ignore.
 - Q. So, for example, let's say I'm applying for tenure and I'm writing -- and all my work has to do with diversity.

But when I come up for tenure the fact that I am working in that area is ignored by the faculty that is making the decision whether or not to let me progress onward.

Has that type of situation come up where you have been asked to address it from either point of view?

A. Not the way you just described it, no.

- Q. Could you tell us how that has come up?
- A. Well, I don't recall any specific faculty case where this has come up in the way you have described it.
 - Q. In what ways have you seen it come up?
- A. Well, it was quite clear, although I don't remember exactly when this came up, I do remember the context in which it came up.
 - Q. Okay. Please just tell us.

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A. This was considered to be an important addition to the code. There was a lot of discussion about what the intent of it was in the Senate.

There was some concern that people would see this as a requirement. And there was some tweaking of the language to make it clear that it wasn't a requirement, but that if faculty members did this, it shall be included, it would be considered.

It was part of a larger thing about trying to expand out the idea of what traditional top 10 journal publications really meant.

So that that's the, you know, that's been my main contact with that particular sentence, was in it's passage, and the discussions about it, its actual intent.

Q. Okay. Because this document is online and

it seems to be a work in progress, is there any way that you know of to go back into some database or records to find out when this language was actually inserted?

A. Yes.

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- Q. Could you explain how to do that?
- A. So -- Well, I can't remember -- Okay. So basically what you would do is, I would go to Google and I type in, this is what I do, faculty, Senate -- faulty, space, Senate, space, UW, and then I hit the return.

And it usually takes me to a sequence of links, one of which is to the faculty Senate page on the university website collection.

On that page, I think it's somewhere on the right-hand side it has, it will say "Legislation." And then if you click on Legislation, it has back to about 2001 or so all of the legislative actions that the Senate -- that has been approved by the processes I discussed, either this double look, the actual Faculty Code, the scholastic regulations or the Senate resolutions, they are all listed there.

And somewhere in there it would say something like Class A legislation, and there would be a number for this one. And if you clicked on that link

it would have, I think what it has is the final report that my office gives on it with the vote totals from the faculty and so forth.

And it also should have the markup that describes, you know, when it was approved and all the rest of it, and what the code looked like before and after.

I think that's what we have there. So that can be found out.

Q. That's great. Can you tell me, so, what you had said was that the de facto, this then would be followed by the faculty.

Would you agree?

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- A. I don't have any experience that it hasn't been followed.
- Q. Okay. And would it be your expectation that based on prior commitments by the faculty, that this would be something that the de facto would be something required to be followed?

MS. PETERSON: Objection, calls for speculation.

THE WITNESS: If I had to speculate, based on my experience, I would expect faculty units to comply with that.

Q. (BY MR. SHERIDAN:) Okay. Thanks. All

right. I'd like to take a look at -- Andra, would you take us to the ranking section, please? Thanks.

And for record purposes, just show it as Bates stamp 2609. And this is Qualifications for Appointment at Specific Ranks and Title.

And for associate professor under A.2. It says "Appointment to the rank of associate professor requires a record of substantial success in teaching and/or research. For tenured, tenure-eligible, and WOT appointments, both of these shall be required, except that in unusual cases an outstanding record in one of these activities may be considered sufficient."

Is that your understanding of the qualifications for appointment of the rank of associate professor under the Faculty Code?

- A. Well, I've seen those sentences. And I've been around the university for almost 30 years. So, yeah.
- Q. Okay. And can you tell us, let's say that one of the units on campus decided that they didn't like the idea that teaching was one of the elements. They just wanted to ignore it as a qualification.

In your experience would this be the de facto rule that one would measure the idea of promotion to associate professor for, or could they just change

it at a whim?

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MS. PETERSON: Objection, compound, calls for speculation, calls for legal conclusion.

THE WITNESS: Could you break that down for me a little bit?

Q. (BY MR. SHERIDAN:) Sure. I want to give you an example. Let's say a unit at the University of Washington just decided that they want to not consider substantial success in teaching. They just want to think about research.

And so let's say they wrote their own little thing that says at this particular unit we only look at whether or not somebody has good research. We don't care about teaching. So, if you want to be promoted, you had better just do research.

In your experience is that type of a change in terms of the local unit as applying -- as addressing promotion to associate professor, is that something that has been permitted in the past?

MS. PETERSON: Objection, compound, calls for speculation, calls for a legal conclusion.

- Q. (BY MR. SHERIDAN:) You can answer.
- A. It has not been my experience that units ignore, leaving aside that "except in unusual cases," it's not been my experience that units ignore one of

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the two: teaching orders or research.

However, it's fair to say that for the tenured line, tenure-eligible or WOT appointments, there are a number of units that encourage faculty members to focus on research over teaching.

- Q. Are those in the medical field, or all fields?
- A. I -- I can't say there's a real pattern to where that is. There's just -- There's some units where, and -- There's some units where the emphasis on those two categories is on research.

But I don't know if units have specifically just said we're just going to ignore teaching.

- Q. Okay. Are you familiar with a K01 grants?
- A. No.
- Q. Okay.
- A. I mean, I've heard of such things.
- 18 | Q. Okay.

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- A. But I am not familiar with the details past that.
 - Q. Have you heard of faculty that have one of these grants may be required to work, the NIH may require them to work, say, 75 percent on the grant for their time and 25 percent on other things, have you seen that?

A. I am aware that -- Not those percentages you gave, no. I'm aware that grants in general have to fit the faculty members --

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So my field doesn't really rely on grants a lot. But I am aware from discussions I have had as Secretary of the Faculty that grants typically require faculty to certify efforts to make sure that they are doing the correct amount of work for the money they are receiving on the grant and so forth.

So I'm aware of that. But I'm not aware of specifically how that works with K01 grants.

- Q. Okay. Could you tell us, based on your experience, why grants are important to a university? And let's say, like, for half a million dollars. Why would that be important to a university?
- MS. PETERSON: Objection, calls for speculation.
- Q. (BY MR. SHERIDAN:) Just based on your experience.
- A. Well, I think there are two reasons that are generally given, in my experience.

One is they bring money in to the university. Although that has to be qualified by whether or not the overhead or indirect costs part of the grant is actually covering the overhead.

And then the second is the prestige. And the University of Washington is quite proud of its standing among not just public but all universities in terms of the grants it receives.

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Q. All right. Can you tell us a little bit about merit pay? Do all faculty get that, if you know?

MS. PETERSON: Objection, calls for speculation.

THE WITNESS: I don't see the books of the university. I have been told by faculty members that -- by some faculty, that they were deemed non-meritorious, and therefore did not get merit pay. But of course, I have only what they told me.

- Q. (BY MR. SHERIDAN:) Okay. Fair enough.

 Does the Faculty Code provide for merit pay?
- A. The Faculty Code -- Well, if I understand the general thrust of your question, the answer is yes. It has a procedure for -- It has a procedure for periodic evaluation of faculty performance.
 - Q. Okay. All right.
- A. And attached to that evaluation is a process for associating pay increases that would change the nominal salary.

But those are really two -- historically
those were two separate things put together. So, there

is an evaluation process and a pay process. Both those can be separate, because the university may have no money this year, we have no money for merit, but we are still doing the evaluation.

Q. Okay.

2.0

- A. So that the section of the code serves two interrelated functions, but they are actually separate. We always do the evaluation, but people don't always get extra pay.
- Q. Okay. I get it. And do you know if there is an appeal process for faculty if they are found to be non-meritorious?
 - A. Yes.
- Q. And to whom does that go, to what level, if you know?
- A. Well, faculty members who feel that there was a problem with their merit evaluation and/or the accompanying pay increase if money were available, there's a process internal to the unit.

In addition, there is a possibility of following the dispute -- the other dispute resolution procedures that the Faculty Code offers, up to and including filing an adjudication.

However, where the internal process inside the unit can look at the actual merits, you know. I am

really getting into what the faculty member did and what their teaching evaluations were like and so forth.

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The adjudication one only allows faculty members to argue that impermissible criteria were used or there was a flaw in the formal sequence of steps required in that code.

So that those are the avenues available.

There's one in the unit, and one that would go over to the university level.

Q. Thank you. I have another question, based on your experience. In this particular case we have seen summaries of faculty discussions regarding issues like tenure and reappointment.

And one of the ones we have most recently seen says basically it is simply a report of the faculty, what the faculty said.

So, for example, a faculty member might say this person does not deserve tenure because I saw the person doing something wrong somewhere. And it could be totally untrue, but it's written down.

In the process is there any kind of fact checking requirement?

MS. PETERSON: Objection, lacks foundation, assumes facts not in evidence, calls for speculation, calls for a legal conclusion, and

1 compound.

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- Q. (BY MR. SHERIDAN:) You can answer.
- A. Well, basically it would be impossible to answer. Can you repeat the question?
 - Q. Yes. The essence of the question is, in your experience is fact checking required at some level?
 - MS. PETERSON: Objection, calls for a legal conclusion.
 - Q. (BY MR. SHERIDAN:) Let me ask you more simply, then. In your experience have you ever seen fact checking in a process that determined whether statements made by faculty during tenure proceedings or reported proceedings are in fact true?
 - MS. PETERSON: Objection, compound.
 - Q. (BY MR. SHERIDAN:) You can answer.
 - A. I have been involved in promotion discussions in the law school where assertions made were asked to be checked. Hmm. So that would be -- that would be my first-hand experience --
 - Q. Okay.
 - A. -- by the law school.
- Q. I think that does answer our question.
- 24 Okay.
- I have no further questions, except that I

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     can't remember when our trial is, I think it's in
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     March. Even if you leave town these days, you can be
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     Zoomed from anywhere. But do you plan to be around at
     that time?
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          Α.
                Yes.
                    MR. SHERIDAN:
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                                   Okay. Mary, am I right,
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     it's March we're in?
                    MS. PETERSON:
                                   March, yes.
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                    MR. SHERIDAN:
                                   Okay. All right. Well,
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     I want to thank you for your time --
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                    MS. PETERSON: I have a few questions.
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                    MR. SHERIDAN:
                                   Yes.
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                        EXAMINATION
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     BY MS. PETERSON:
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          Ο.
                Okay. I have a few questions, Professor
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     Townsend.
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                Are you authorized as a spokesperson to
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     speak on any matters in connection with this case?
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                                 (Pause in the proceedings).
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                    COURT REPORTER: And your answer?
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                    THE WITNESS: No.
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          Q.
                (BY MS. PETERSON:) Were you personally
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involved in making any employment decisions related to Dr. Gillian Marshall?

A. No.

2.0

Q. In your experience is it common for individual units throughout the university system to have their own guidelines related to promotion and tenure criteria?

MR. SHERIDAN: Objection, vague.

Go ahead.

THE WITNESS: Most units fill out the general description we had on the screen earlier in 24-32.

More specifically, in the context of their units it might get down to the number of publications, the journals that they expect to see the publications in and so forth.

But I think almost all units have that.

In addition, we are recently working on legislation that changed the code to require that these guidelines be published.

It already says in the code that the faculty member for promotion is to assemble a packet based on the guidelines of the unit. So it's assumed in the code that the unit will have guidelines that kind of flush out in a particular area what substantial success

1 means.

2 MS. PETERSON: Thank you. Nothing

3 further.

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RE-EXAMINATION

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8 BY MR. SHERIDAN:

- Q. Can you tell us when all of that is going to come online, if you know?
- A. Well, the language on guidelines is already in the code. It's in that section on Promotion.

There's a proposed amendment to insert the word "published" in front of guideline that is winding its way through this back and forth process.

- Q. Okay. Anything else to add?
- MS. PETERSON: No. I'm finished. Thank
 you for your time today, Professor Townsend.
- Q. (BY MR. SHERIDAN:) And, Professor, do you have anything else to add?
 - A. I would like to add the following, if I might. So, my job, I don't represent individuals or even the university.
- The way the job was explained to me when I came onboard was, if anything, I'm supposed to be

representing the Faculty Code, and trying to do that to the best of my ability, even though I don't have authority on it, but try to represent it to people who fall under its purview.

So, I've tried to maintain in this office neutrality with respect to all parties. I do.

I listen -- I get faculty members come in. I get administrators come in.

So I want that on the record, that I think every Secretary of the Faculty has viewed this office as neutral. We don't, even though obviously I'm paid by the university and am an employee of the university in a formal sense, and even though I'm called Secretary of the Faculty, I am neither an advocate for the faculty or the university.

I do the -- My staff and I do the best we can to try to make sure that the code does its job in helping the university run. But I just want that on the record.

MR. SHERIDAN: Thank you for your time. We appreciate it. And I have no further questions.

THE VIDEOGRAPHER: This concludes the video deposition of Mike Townsend consisting of one tape. The time is approximately 11:35 a.m.

The original tape of today's testimony will